

Section 3

CSCI E-22

Will Begin Shortly

Big O notation

- Constant multipliers do not “affect” big O
 - $600n^2$ and $0.00005n^2$ are both $O(n^2)$
- Lower order terms do not “affect” big O
 - $2^n + n^{100}$ is still $O(2^n)$
- General ordering of functions, from slowest-growing to fastest:
 - $O(1) < O(\log n) < O(n) < O(n \log n) < O(n^2) < O(n^k) < O(c^n) < O(n!)$
 $c > 1, k > 2$ constant

Matrix multiplication

- For two $n \times n$ matrices A and B , the product C is another $n \times n$ matrix where each element is obtained by multiplying elements of A row-wise with B column-wise, adding up the individual products:

A

$a_{0,0}$	$a_{0,1}$	$a_{0,2}$	$a_{0,3}$	$a_{0,4}$
$a_{1,0}$	$a_{1,1}$	$a_{1,2}$	$a_{1,3}$	$a_{1,4}$
$a_{2,0}$	$a_{2,1}$	$a_{2,2}$	$a_{2,3}$	$a_{2,4}$
$a_{3,0}$	$a_{3,1}$	$a_{3,2}$	$a_{3,3}$	$a_{3,4}$
$a_{4,0}$	$a_{4,1}$	$a_{4,2}$	$a_{4,3}$	$a_{4,4}$

row 3

$\times$

B

$b_{0,0}$	$b_{0,1}$	$b_{0,2}$	$b_{0,3}$	$b_{0,4}$
$b_{1,0}$	$b_{1,1}$	$b_{1,2}$	$b_{1,3}$	$b_{1,4}$
$b_{2,0}$	$b_{2,1}$	$b_{2,2}$	$b_{2,3}$	$b_{2,4}$
$b_{3,0}$	$b_{3,1}$	$b_{3,2}$	$b_{3,3}$	$b_{3,4}$
$b_{4,0}$	$b_{4,1}$	$b_{4,2}$	$b_{4,3}$	$b_{4,4}$

col 1

$=$

C

$c_{0,0}$	$c_{0,1}$	$c_{0,2}$	$c_{0,3}$	$c_{0,4}$
$c_{1,0}$	$c_{1,1}$	$c_{1,2}$	$c_{1,3}$	$c_{1,4}$
$c_{2,0}$	$c_{2,1}$	$c_{2,2}$	$c_{2,3}$	$c_{2,4}$
$c_{3,0}$	$c_{3,1}$	$c_{3,2}$	$c_{3,3}$	$c_{3,4}$
$c_{4,0}$	$c_{4,1}$	$c_{4,2}$	$c_{4,3}$	$c_{4,4}$

row 3

$$c_{3,1} = a_{3,0} \times b_{0,1} + a_{3,1} \times b_{1,1} + a_{3,2} \times b_{2,1} + a_{3,3} \times b_{3,1} + a_{3,4} \times b_{4,1}$$

Matrix multiplication

- For two $n \times n$ matrices A and B , the product C is another $n \times n$ matrix where each element is obtained by multiplying elements of A row-wise with B column-wise, adding up the individual products:

$c_{0,0}$	$c_{0,1}$	$c_{0,2}$	...	$c_{0,n-1}$
$c_{1,0}$	$c_{1,1}$	$c_{1,2}$	...	$c_{1,n-1}$
$c_{2,0}$	$c_{2,1}$	$c_{2,2}$	...	$c_{2,n-1}$
$\vdots$	$\vdots$	$\vdots$	$c_{i,j}$	$\vdots$
$c_{n-1,0}$	$c_{n-1,1}$	$c_{n-1,2}$	...	$c_{n-1,n-1}$

$$c_{i,j} = a_{i,0} \times b_{0,j} + a_{i,1} \times b_{1,j} + a_{i,2} \times b_{2,j} + \dots + a_{i,n-1} \times b_{n-1,j}$$

$$c_{i,j} = \sum_{k=0}^{n-1} a_{i,k} \times b_{k,j}$$

$\swarrow$
row

$\swarrow$
col

Matrix multiplication

- In Java, if we represent a matrix as a two-dimensional array, we can determine the entries of the matrix AB using nested for loops in the following way:

```
int[] [] matrixA = new int[n][n];    // A is an n-by-n matrix
int[] [] matrixB = new int[n][n];    // B is another n-by-n matrix
int[] [] matrixC = new int[n][n];    // C will hold the matrix product A * B

for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        int total = 0;
        for (int k = 0; k < n; k++) {
            total += matrixA[i][k] * matrixB[k][j];
        }
        matrixC[i][j] = total;
    }
}
```

outer i-loop gets values 0, 1, ..., n - 1

for each i, inner j-loop gets values 0, 1, ..., n - 1

this code runs for every $c_{i,j}$ matrix element

Matrix multiplication

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        int total = 0;
        for (int k = 0; k < n; k++) {
            total += matrixA[i][k] * matrixB[k][j];
        }
        matrixC[i][j] = total;
    }
}
```

*how many multiplications are done for each element $c_{i,j}$?
 n multiplications*

Matrix multiplication

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    for (int j = 0; j < n; j++) {
        int total = 0;

        for (int k = 0; k < n; k++) {
            total += matrixA[i][k] * matrixB[k][j];
        }

        matrixC[i][j] = total;
    }
}
```

*how many multiplications are
done for each element $c_{i,j}$?
 n multiplications*

*overall, how many
multiplications are required to
compute the final result?
 $n \times n$ elements $\times n$ multiplications =
 $n \times n \times n = n^3$ multiplications*

General case of nested loops

- Nested loops, both dependent on n : statements inside the inner loop will run exactly $n \times n$ times:

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        // statements here are executed  $n^2$  times
    }
}
```

General case of nested loops

- Nested loops, both dependent on n : statements inside the inner loop will run exactly $n \times n$ times:

```
for (int i = 0; i < n; i++) {  
    for (int j = 0; j < n; j++) {  
        // statements here are executed  $n^2$  times  
    }  
}
```

- Three nested loops, all dependent on n : statements inside the innermost loop will run exactly $n \times n \times n$ times:

```
for (int i = 0; i < n; i++) {  
    for (int j = 0; j < n; j++) {  
        for (int k = 0; k < n; k++) {  
            // statements here are executed  $n^3$  times  
        }  
    }  
}
```

General case of nested loops

- What if the outer loop is dependent on n and the inner loop runs a constant number of times (here, only 3 times)?

```
for (int i = 0; i < n; i++) {  
    for (int j = 0; j < 3; j++) {  
        // statements here are executed ??? times  
    }  
}
```

General case of nested loops

- What if the outer loop is dependent on n and the inner loop runs a constant number of times (here, only 3 times)?

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < 3; j++) {
        // statements here are executed 3n times
    }
}
```

n iterations of outer loop $\times$ 3 iterations of inner loop = $3n$ = still $O(n)$

General case of nested loops

- What if the outer loop is dependent on n and the inner loop is dependent on the current value of i ?

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < i; j++) {
        // statements here are executed ??? times
    }
}
```

when $i = 0$, statements are executed 0 times
 when $i = 1$, statements are executed 1 time
 when $i = 2$, statements are executed 2 times
 when $i = 3$, statements are executed 3 times
 when $i = 4$, statements are executed 4 times
 ...

how many total executions for a given value of n ?
 $1 + 2 + 3 + 4 + \dots + n - 1 = \frac{n(n-1)}{2}$

i	j	exec?	
0	0	✗	
1	0	✓	1 time
1	1	✗	+
2	0	✓	} 2 times
2	1	✓	
2	2	✗	+
3	0	✓	} 3 times
3	1	✓	
3	2	✓	
3	3	✗	+
4	0	✓	} 4 times
4	1	✓	
4	2	✓	
4	3	✓	
4	4	✗	
5	0	✓	
...	...	...	

n^2 versus $n(n - 1) / 2$

Both loops dependent on n

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        // ...
    }
}
```

when $i = 0$, statements are executed n times
 when $i = 1$, statements are executed n times
 when $i = 2$, statements are executed n times
 when $i = 3$, statements are executed n times
 ...
 when $i = n - 1$, statements are excd n times

$$n \times n = n^2$$

$$O(n^2)$$

Outer loop dependent on n , inner loop dependent on current value of i

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < i; j++) {
        // ...
    }
}
```

when $i = 0$, statements are executed 0 times
 when $i = 1$, statements are executed 1 times
 when $i = 2$, statements are executed 2 times
 when $i = 3$, statements are executed 3 times
 ...
 when $i = n - 1$, statements are excd $n - 1$ times

$$n(n - 1) / 2$$

$$n^2 / 2 - n / 2$$

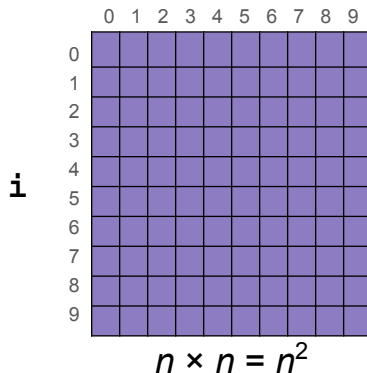
$$\frac{1}{2}n^2 - \frac{1}{2}n$$

$$\text{also } O(n^2)$$

n^2 versus $n(n - 1) / 2$

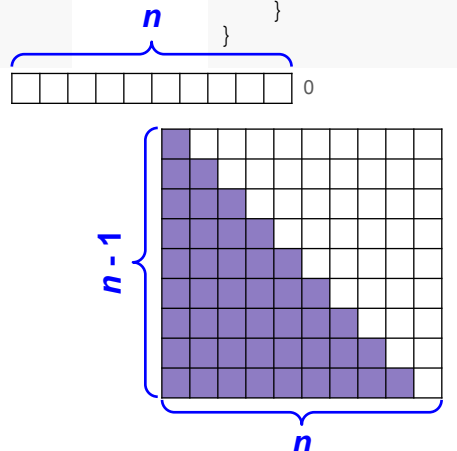
Both loops dependent on n

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < n; j++) {
        // ...
    }
}
```



Outer loop dependent on n , inner loop dependent on current value of i

```
for (int i = 0; i < n; i++) {
    for (int j = 0; j < i; j++) {
        // ...
    }
}
```



width height

$$n \times (n - 1)$$

$$\frac{\quad}{2}$$

Sorting algorithms

Selection sort

Insertion sort

Bubble sort

Shell sort

```
private static int smallest(  
    int[] arr, int lower, int upper  
) {  
    int indexMin = lower;  
    for (int i = lower + 1; i <= upper; i++) {  
        if (arr[i] < arr[indexMin]) {  
            indexMin = i;  
        }  
    }  
  
    return indexMin;  
}  
  
public static void selectionSort(int[] arr) {  
    for (int i = 0; i < arr.length - 1; i++) {  
        int j = smallest(arr, i, arr.length - 1);  
        swap(arr, i, j);  
    }  
}
```

0	1	2	3	4	5
7	39	20	11	16	5


```

private static int smallest(
    int[] arr, int lower, int upper
) {
    int indexMin = lower;
    for (int i = lower + 1; i <= upper; i++) {
        if (arr[i] < arr[indexMin]) {
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        }
    }

    return indexMin;
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public static void selectionSort(int[] arr) {
    for (int i = 0; i < arr.length - 1; i++) {
        int j = smallest(arr, i, arr.length - 1);
        swap(arr, i, j);
    }
}

```

0	1	2	3	4	5
7	39	20	11	16	5
5	39	20	11	16	7
5	7	20	11	16	39
5	7	11	20	16	39
5	7	11	16	20	39
5	7	11	16	20	39



```

private static int smallest(
    int[] arr, int lower, int upper
) {
    int indexMin = lower;
    for (int i = lower + 1; i <= upper; i++) {
        if (arr[i] < arr[indexMin]) {
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public static void selectionSort(int[] arr) {
    for (int i = 0; i < arr.length - 1; i++) {
        int j = smallest(arr, i, arr.length - 1);
        swap(arr, i, j);
    }
}

```

	comparisons	moves (swap is 3 moves)
best case	$n(n - 1) / 2$ $O(n^2)$	$3(n - 1)$ $O(n)$
worst case	$O(n^2)$	$O(n)$
average case	$O(n^2)$	$O(n)$

```

public static void insertionSort(int[] arr) {
    for (int i = 1; i < arr.length; i++) {
        if (arr[i] < arr[i - 1]) {
            int toInsert = arr[i];
            int j = i;

            do {
                arr[j] = arr[j - 1];
                j = j - 1;
            } while (
                j > 0 &&
                toInsert < arr[j - 1]
            );

            arr[j] = toInsert;
        }
    }
}

```

i toInsert

j

0	1	2	3	4	5
7	39	20	11	16	5

```

public static void insertionSort(int[] arr) {
    for (int i = 1; i < arr.length; i++) {
        if (arr[i] < arr[i - 1]) {
            int toInsert = arr[i];
            int j = i;

            do {
                arr[j] = arr[j - 1];
                j = j - 1;
            } while (
                j > 0 &&
                toInsert < arr[j - 1]
            );

            arr[j] = toInsert;
        }
    }
}

```

i toInsert

j

0	1	2	3	4	5
7	39	20	11	16	5
7	20	39	11	16	5
7	11	20	39	16	5
7	11	16	20	39	5
5	7	11	16	20	39



```

public static void insertionSort(int[] arr) {
    for (int i = 1; i < arr.length; i++) {
        if (arr[i] < arr[i - 1]) {
            int toInsert = arr[i];
            int j = i;

            do {
                arr[j] = arr[j - 1];
                j = j - 1;
            } while (
                j > 0 &&
                toInsert < arr[j - 1]
            );

            arr[j] = toInsert;
        }
    }
}

```

best case

worst case

average case

comparisons

moves (swap is 3 moves)

$n - 1$

$O(n)$

0

$O(n^2)$

$O(n^2)$

$O(n^2)$

$O(n^2)$

```

public static void bubbleSort(int[] arr) {
    for (int i = arr.length - 1; i > 0; i--) {
        for (int j = 0; j < i; j++) {
            if (arr[j] > arr[j + 1])
                swap(arr, j, j + 1);
        }
    }
}

```

i

j

0	1	2	3	4	5
7	39	20	11	16	5

```
public static void bubbleSort(int[] arr) {
    for (int i = arr.length - 1; i > 0; i--) {
        for (int j = 0; j < i; j++) {
            if (arr[j] > arr[j + 1])
                swap(arr, j, j + 1);
        }
    }
}
```

i

j

0	1	2	3	4	5
7	39	20	11	16	5
7	20	11	16	5	39
7	11	16	20	5	39
7	11	5	16	20	39
7	5	11	16	20	39
5	7	11	16	20	39



```
public static void bubbleSort(int[] arr) {
    for (int i = arr.length - 1; i > 0; i--) {
        for (int j = 0; j < i; j++) {
            if (arr[j] > arr[j + 1])
                swap(arr, j, j + 1);
        }
    }
}
```

comparisons moves (swap is 3 moves)

best case

$$n(n - 1) / 2$$

0

$$O(n^2)$$

(faster implementations are possible)

worst case

$$O(n^2)$$

$$O(n^2)$$

average case

$$O(n^2)$$

$$O(n^2)$$

```

public static void shellSort(int[] arr) {
    int incr = 1;
    while (2 * incr <= arr.length)
        incr = 2 * incr;
    incr--;

    while (incr >= 1) {
        for (int i = incr; i < arr.length; i++) {
            if (arr[i] < arr[i - incr]) {
                int toInsert = arr[i];
                int j = i;

                while (
                    j > incr - 1 &&
                    toInsert < arr[j - incr]
                ) {
                    arr[j] = arr[j - incr];
                    j = j - incr;
                }
                arr[j] = toInsert;
            }
        }
        incr = incr / 2;
    }
}

```

incr

i

toInsert

j

0	1	2	3	4	5
7	39	20	11	16	5

```

public static void shellSort(int[] arr) {
    int incr = 1;
    while (2 * incr <= arr.length)
        incr = 2 * incr;
    incr--;

    while (incr >= 1) {
        for (int i = incr; i < arr.length; i++) {
            if (arr[i] < arr[i - incr]) {
                int toInsert = arr[i];
                int j = i;

                while (
                    j > incr - 1 &&
                    toInsert < arr[j - incr]
                ) {
                    arr[j] = arr[j - incr];
                    j = j - incr;
                }
                arr[j] = toInsert;
            }
        }
        incr = incr / 2;
    }
}

```

0	1	2	3	4	5
7	39	20	11	16	5
7	16	20	11	39	5
7	16	5	11	39	20
5	7	16	11	39	20
5	7	11	16	39	20
5	7	11	16	20	39



```

public static void shellSort(int[] arr) {
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    incr--;

    while (incr >= 1) {
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            if (arr[i] < arr[i - incr]) {
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                int j = i;

                while (
                    j > incr - 1 &&
                    toInsert < arr[j - incr]
                ) {
                    arr[j] = arr[j - incr];
                    j = j - incr;
                }
                arr[j] = toInsert;
            }
        }
        incr = incr / 2;
    }
}

```

comparisons and moves

best case

$O(n \log n)$

worst case

$O(n^{1.5})$

average case

$O(n^{1.5})$